

PLYMOUTH CITY COUNCIL

Subject: Integrated Commissioning Risk Register Report

Committee: Audit Committee

Date: 16 March 2017

Cabinet Member: Councillor Darcy

CMT Member: Andrew Hardingham (Interim Joint Strategic Director Transformation and Change, PCC)
Hugh Groves (Chief Finance Officer, CCG)

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Ref: CRM/MJH

Key Decision: No

Part: I

Purpose of the report:

This report provides a summary of the Integrated Risk Management Framework between Plymouth City Council and NHS Northern Eastern and Western Devon Clinical Commissioning Group (NEW Devon CCG) to adopt an integrated approach to the management of risk.

Appendix A to the report provides the latest integrated commissioning risk register.

The Corporate Plan 2016 - 19:

The Integrated Commissioning Risk Register includes links to the Corporate Plan objectives – monitoring of control action for risks therefore contributes to the delivery of both organisations core objectives.

Implications for Medium Term Financial Plan and Resource Implications: Including finance, human, IT and land

None arising specifically from this report but control measures identified in risk and opportunity registers could have financial or resource implications.

Other Implications: e.g. Child Poverty, Community Safety, Health and Safety and Risk Management:

None arising specifically from this report but community safety, health and safety issues and risks are taken into account in the preparation of risk and opportunity registers.

Equality and Diversity

Has an Equality Impact Assessment been undertaken? Not required.

Recommendations and Reasons for recommended action:

The Audit Committee is recommended to:

Note and endorse the current position with regard to the integrated commissioning risk register.

Alternative options considered and rejected:

Effective risk management processes are an essential element of internal control and as such are an important element of good corporate governance. For this reason alternative options are not applicable.

Published work / information:

Plymouth City Council Risk and Opportunity Management [Strategy](#).
Commissioning an Integrated System for population Health & [Wellbeing](#).

Background papers:

None.

Title	Part I	Part II	Exemption Paragraph Number						
			1	2	3	4	5	6	7

Sign off:

Fin	DnI6 17.71	Leg	DVS2 7658	Mon Off		HR		Assets		IT		Strat Proc	
Originating SMT Member: Andrew Hardingham, Interim Joint Strategic Director Transformation and Change													
Has the Cabinet Member(s) agreed the contents of the report? Yes													

1.0 Introduction

- 1.1** As part of the Integrated Health and Wellbeing Programme, Plymouth City Council and NHS Northern Eastern and Western Devon Clinical Commissioning Group (NEW Devon CCG) formed an integrated commissioning function on 1 April 2015, working towards a single commissioning approach, an integrated fund, and risk and benefit sharing agreements.
- 1.2** The vision for the Integrated Health and Wellbeing programme is to establish a collaborative, integrated and strategic approach to how NEW Devon CCG and Plymouth City Council commission and deliver services, with the aim of improving patient/service user experience and improving outcomes for residents in Plymouth from the resources available.

2.0 Background

- 2.1** A key component to the governance of the integrated health and wellbeing programme and the integrated fund has been the implementation of a formal Finance and Assurance Review Group (FARG) which meets on a monthly basis working to the terms of reference, as approved by the Integrated Commissioning Board.
- 2.2** An Integrated Risk Management Framework has been drawn up between the partner organisations, which is to be overseen by FARG, to adopt an integrated approach to the management of risk and to integrate risk into the overall arrangements of the pooled fund.
- 2.3** The framework document is attached at Appendix B.

3.0 Integrated Commissioning Risk Register

- 3.1** NEW Devon CCG's Head of Corporate Governance and Plymouth City Council's Corporate Risk Advisor have carried out a review of the risks contained within their respective organisational risk registers which is shown at Appendix A.
- 3.2** The risk register was reviewed by the FARG joint working technical group on 17 January 2017 where the format for monitoring joint risks was agreed as outlined on pages 6 and 7 of the framework document.
- 3.3** Any risk relating to integrated health and wellbeing commissioning strategy with a risk appetite score in the amber and red category from either organisation's risk register will be included in the integrated risk register for review by FARG.
- 3.4** FARG will then consider whether further assurance is required as part of their formal governance role which has been approved by the Integrated Commissioning Board.
- 3.5** The integrated risk register will be reviewed by FARG on a quarterly basis.

4.0 Conclusion

- 4.1** Both Partners have embedded risk management strategies in place that support the integrated approach to managing risks to the shared fund and will ultimately include risks to the wider commissioning and operational areas.
- 4.2** Risk management is an integral part of good corporate governance. This framework provides the foundation and a common infrastructure for delivering, maintaining and governing risk management within the integrated commissioning function.